

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  Mark Joseph Hamzy, <i>et al</i>	: Group Art Unit:
Serial No.:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Title: "Visual and Audible Consumer Reaction Collection"	: 11400 Burnet Road
	: Internal Zip 4054
	: Austin, Texas 78758

Jc406 U.S. PTO  
09/731870  
12/07/00

Date: 12-07-00

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

PATENT

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink that reads "David Mims, Jr." with a stylized flourish at the end.

David A. Mims, Jr.  
Attorney for Applicants  
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In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

## LIST OF PATENTS AND PUBLICATIONS FOR

Applicant: Mark Joseph Hamzy

## APPLICANT'S INFORMATION DISCLOSURE

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

## STATEMENT

Atty. Docket No. AUS9-2000-0656-US1



## Reference Designation

U. S. PATENT DOCUMENTS

Examiner	Document						Filing Date if
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Appropriate</u>	
_____	AA 5,822,735	10/13/98	De Lapa, et al	705	14		
_____	AB 6,102,287	8/15/00	Matyas, Jr.	235	380		
_____	AC 5,945,646	8/31/99	Schena, et al	702	177		
_____	AD 6,061,660	5/09/00	Eggleston, et al	705	14		
_____	AE						

FOREIGN PATENT DOCUMENTS

Examiner	Document						Translation
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Yes</u>	<u>No</u>
_____	AF						
_____	AG						
_____	AH						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)Examiner  
Initial

_____	AJ	"FaceIt: An Award-winning Facial Recognition Software", Visionics Corporation, Jersey City, NJ, 2 pages
_____	AK	"The Mathematics of FaceIt", Visionics Corporation, Jersey City, NJ, 2 pages
_____	AL	"Detection and Recognition of Faces in Video Sequences", Kjersti AAS, Oslo, Norway, 6 pgs, <a href="http://www.nr.no/research/bild/PostScript/FaceSegm98.ps">www.nr.no/research/bild/PostScript/FaceSegm98.ps</a>
_____	AM	"A Complete Gesture Recognition Review", 11 pages, <a href="http://www.dei.unipd.it/~cuzzolin/Review.html">www.dei.unipd.it/~cuzzolin/Review.html</a>

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.